

If the links in this update (or on our web page [www.EEAInvestors.com](http://www.EEAInvestors.com)) don't work for you then please let me know

- **Run-off Shares – June 2014 Redemption (September 2014)** – No further updates. Payments should be going out in the next week or two.
- **Continuing Shares – Optional / Discretionary 5% Redemption (December 2014)**

I have updated the [Bulletin](#) on the website to include further information on Royal London 360, Canada Life and Friends Provident International (FPI). We have also released a [press article](#) to heighten the awareness amongst investors, platforms and Financial Advisors about the redemption option and its associated procedures. The deadline for requests to reach EEA is **Friday 28<sup>th</sup> November 2014** – Platforms and other intermediaries will need an earlier deadline.

*Note : The Bulletin that I attached to the last update didn't have the attachments (i.e. forms). Sorry about that. The new version is attached (with attachments). [Thanks Richard N for spotting that deliberate mistake ...]*
- **August and September 2014 Results**

One more policy maturity has been posted for August 2014 (\$1m NDB), and five policies (two lives, \$6.5m NDB) so far to 16<sup>th</sup> September 2014. I'll include a more detailed summary in the next update, when the September results are more complete, together with an update on our projections to year end 2014.

Two other policies (\$5.8m NDB) seem to have “disappeared” from the EEA portfolio listing, and we are trying to ascertain why (i.e. have the policies been sold, run out of cover or otherwise expired). Five other policies “disappeared” without explanation in 2011 and 2012 and we believe that there might be further such “losses” in the future.
- **Portfolio Analysis and Management (Working Paper WP7)**

We received a [Response from EEA](#) and have sent a [Letter in Reply](#) .

We have also received two other independent reports about EEA and will be reworking WP7 over the next two weeks to incorporate the new inputs and the 2014 results to the end of September.
- **Regulatory**
  - **Shareholder Register.** [Letter sent](#) to Guernsey Law Officers
  - **Reminder - ShareSoc Campaign.** On the **14<sup>th</sup> October** The UK Individual Shareholder Society is launching a campaign to give full rights to ALL shareholders. At present most private investors purchase shares through nominee accounts. With a very few exceptions this means that they have no automatic rights to vote, to attend General Meetings of companies or even receive information on the affairs of the company. (Ring any Bells ? !!!) I will be attending the launch meeting (in London) and would ask anyone else who can get there to attend. If we can get the UK to adopt such improvements then we might even be able to influence Guernsey to adopt them as well (one day). [Please visit this web page](#) for more info and to register your attendance (it's free, by the way)

- **Corporate Governance.** THE latest version of the [UK Corporate Governance Code](#) has now been released by the UK Financial Reporting Council (FRC). We will review this and make appropriate comments to the GFSC.

➤ **Asia**

We have made contact with an [Action Group](#) based in Hong Kong which is pursuing the problems of unethical conduct on the part of fund managers, sales agents, insurance companies, and IFAs targeting expatriate clients in Asia (Hong Kong, Singapore, Thailand) and Australasia. I have summarised the EEA situation and they are willing to add EEA issues to their Blog if investors there express an interest.

➤ **Claims**

Tobias Haynes of Regulatory Legal Solicitors has [sent us a Letter](#) inviting any investor who is considering making a claim against their UK or European Financial Advisor in respect of the original advice for investing in EEA to contact Tobias with a view to providing legal assistance and advice with preparing and processing the claim, if necessary through the UK Financial Ombudsman Service (FOS) or the UK Financial Services Compensation Scheme.

We have also previously suggested [www.neglectassist.com](http://www.neglectassist.com) . The solicitors concerned are Wixted & Co in Putney, South London

I ask any members who contact these or other firms, or are making claims themselves to keep me updated so that I can keep my own records up to date and provide additional information or help if appropriate.

I am also happy to assist any IFA who is facing claims for mis-selling EEA to investors. I have no axe to grind against investors or Advisors and “refer members to [the answers that I gave earlier](#) ...”

Kind Regards

David Trinkwon  
Director, Medley Systems Ltd

Coordinator – EEA Investors’ Group  
Tel : +44 (0) 7802 538315  
Skype : david.trinkwon

Email : [EEAInvestors@gmail.com](mailto:EEAInvestors@gmail.com)  
Web : [www.EEAInvestors.com](http://www.EEAInvestors.com)